



KAREN HANDEL
Secretary of State

SECURITIES AND BUSINESS REGULATION
2 Martin Luther King, Jr. Drive, S.E.
Ste 802, West Tower
Atlanta, Georgia 30334
(404) 656-3920
<http://www.sos.state.ga.us/securities/>

ROBERT D. TERRY
DIVISION DIRECTOR

PUBLIC COMPLAINT FORM

Your Information

Your Name:

Address:

Telephone: ()

Your Signature:

Date:

Please Mark Area(s) of Complaint

<input type="checkbox"/>	Securities	<input type="checkbox"/>	Cemeteries/Preneed Funeral Home/ Preneed Dealer
<input type="checkbox"/>	Securities Broker/Dealer	<input type="checkbox"/>	Cemeteries Merchandise Dealer
<input type="checkbox"/>	Securities Salesperson	<input type="checkbox"/>	Cemeteries Preneed Sales Agent
<input type="checkbox"/>	Securities Investment Advisor	<input type="checkbox"/>	Charitable Organization
<input type="checkbox"/>	Securities Investment Advisor Representative	<input type="checkbox"/>	Charities Paid Solicitor
<input type="checkbox"/>		<input type="checkbox"/>	Charities Solicitor Agent

Information Relating to Business or Individual Involved

Name

Registration Number

Telephone

Address

Address

City

State

Zip Code

Names of other businesses or individuals involved (explain below):

Information Relating to Complaint

Date the business or individual contacted you?

How were you contacted (phone, mail, in person, etc.)?

Date of investment/purchase/donation?

What did you invest in, purchase, or donate (explain)?

Did you sign any documents (if so, please explain)?

List all pertinent witnesses and their phone numbers?

Have you brought any legal action (if so, what)?

**Please attach a detailed explanation of your complaint. Include names, addresses, dates, amount, etc. Send copies of all relevant materials pertaining to your complaint to the address above,
ATTN: Complaint Coordinator**

This Complaint Form may be sent to a professional trade organization or other similar organization related to the business identified in the complaint in an effort to resolve this dispute. If for any reason you do not want a copy of this complaint sent to such an organization, please put an 'X' HERE: _____ I do not want this complaint sent to a professional trade organization.